UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. MAIWAL 3.9-003 CONT First Inventor Torsten Schulz

(Only for new nonprovisional applications under 37 CFR 1.53(b))

DEVICE FOR HOLDING A SUBSTANCE LIBRARY Title **CARRIER**

Expres	s Mail Label No. EV342575635US						
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. Specification [Total Pages 37] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies						
- Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement (when there is an assignee) Attorney						
4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 9] 5. Oath or Declaration [Total Sheets]	 English Translation Document (if applicable) X Information Disclosure Statement (IDS)/PTO-1449 X Copies of IDS Citations 						
a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)	13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)						
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. X Application Data Sheet. See 37 CFR 1.76	16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Copy of WO 03/031063 A2, WO 03/031063 A3, English translation of WO 03/031063, and Voice of Translation.						
Application Data Sheet. See 37 CFR 1.76 Indication Sheet. Sheet under 37 CFR 1.76:							
19. CORRESPO	NDENCE ADDRESS						
X Customer Number: 000530	OR Correspondence address below						
Name .							
Address							
City State Country Telephone	Zip Code Fax						
Name (Print/Type) Jshn R. Nelson	Registration No. (Attorney/Agent) 26,573						
Signature Signature	Date April 8, 2004						

Under the Paperwork Reduction Act of 1995, no persons are required to **FEE TRANSMITTAL** for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1 220 00

PTO/SB/17 (10-03) Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE respond to a collection of information unless it displays a valid OMB control number.				
Complete if Known				
Application Number	Not Yet Assigned			
Filing Date	Concurrently Herewith			
First Named Inventor	Torsten Schulz			
Examiner Name	Not Yet Assigned			
Art Unit	N/A			
Attorney Docket No.	MAIWAL 3.9-003 CONT			

101/12 AMOSTIT OF TATMENT (4) 1,220.00	7 Montey Booker No. 1411 11 17 12 010 000 00111							
METHOD OF PAYMENT (check all that apply)	FEE CALCU				E CALCULATION (continued)			
Check Credit Money Order Other None								
X Deposit Account:								
Deposit 40.4005		Entity		Entity	-			
Account Number 12-1095	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid			
Deposit Account Lerner, David, Littenberg,	1051	130	2051	65	Surcharge – late filing fee or oath			
Name Krumholz & Mentlik, LLP	1052	50	2052	25	Surcharge – late provisional filing fee or cover			
The Director is authorized to: (check all that apply)					sheet.			
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English specification			
X Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month			
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month			
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month			
Fee Fee Fee Fee Fee Pee Pee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month			
1001 770 2001 385 Utility filing fee 770.00	1255	2,010	2255	1,005	Extension for reply within fifth month			
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal			
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 770.00		110	2452	55	Petition to revive – unavoidable			
		1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)			
Extra Fee from Claims below Fee Paid	1502	480	2502	240	Design issue fee			
Total Claims 45 -20** = 25 x 18.00 = 450.00	1503	640	2503	320	Plant issue fee			
Independent 2 -3** = x = 0.00	1460	130	1460	130	Petitions to the Commissioner			
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Code (\$) Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))			
1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	Request for Continued Examination (RCE)			
over original patent	1802	900	1802	900	Request for expedited examination			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	Other f	of a design application Other fee (specify)						
SUBTOTAL (2) (\$) 450.00		ced by E	• ·	ina Ec-	Paid SUBTOTAL (3) (\$) 0.00			
**or number previously paid, if greater; For Reissues, see above	Paid SUBTOTAL (3) (\$) 0.00							
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SUBMITTED BY (Complete (if applicable))					
Name (Print/Type) cohn R. Nelson	Registration No. (Attorney/Agent) 26,573	Telephone	(908) 518-6317		
Signature			April 8, 2004		

Dated: April 8, 2004

Docket No.: MAIWAL 3.9-003 CONT

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Schulz et al.

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: Concurrently Herewith

Examiner: Not Yet Assigned

For: DEVICE FOR HOLDING A SUBSTANCE

LIBRARY CARRIER

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

By

Dated: April 8, 2004

Respectfully submitted,

John R. Welson

Registration No.: 26,573

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